Funding Agreement

between the

COMMONWEALTH OF AUSTRALIA
as represented by the

Minister for Education

and

Swinburne University of Technology

regarding funding

under the Commonwealth Grant Scheme in respect of the 2018, 2019 and 2020 grant years

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Parties and Recitals

THIS AGREEMENT is made on the date on which it is executed by the Commonwealth of Australia

BETWEEN

THE COMMONWEALTH OF AUSTRALIA represented by the Minister for Education ('the Commonwealth') [ABN 12 862 898 150]

AND

SWINBURNE UNIVERSITY OF TECHNOLOGY, PO Box 218 HAWTHORN VIC 3122 ('University') [ABN 13 628 586 699]

WHEREAS:

A. The University meets the requirement of subparagraph 30-1(1)(a) of HESA.

B. This funding agreement is made under subsection 30-25(1) of HESA in respect of the 2018, 2019 and 2020 grant years.

C. Entering this agreement is a requirement under subparagraph 30-1(1)(a)(iii) of HESA for a Commonwealth Grant to be payable to the University under Part 2-2 of HESA.

D. Subject to subsections 30-25(2A) and 30-25(2B) of HESA, this funding agreement specifies conditions to which the Commonwealth Grant is subject that are additional to the conditions that apply to the Commonwealth Grant under Division 36 of HESA.

E. Both parties acknowledge the Commonwealth of Australia’s priorities for delivering improvements in accountability and transparency in the delivery of higher education including in:

• admissions processes
• provision of data to support improved information for students
• student outcomes
• provision of data about teaching and research costs.

F. Under section 36-65 of HESA, the University must comply with this funding agreement.
NOW IT IS AGREED as follows:

The parties agree that the funding agreement made by them on 17 January 2018 for the Commonwealth to provide funding to the University under the Commonwealth Grant Scheme in respect of the grant years 2018, 2019 and 2020 shall terminate on the date on which this Funding Agreement is made.

PART A: Introduction

Commonwealth Grant Scheme funding amount and payment arrangements

1. The Commonwealth will pay to the University the Commonwealth Grant Scheme (CGS) funding amount for the 2018, 2019 and 2020 grant years, calculated in accordance with division 33 of HESA.

2. The Commonwealth will notify the University before the start of the grant year covered by this Agreement about the CGS advances in respect of amounts expected to become payable for:
   2.1. designated courses of study; and
   2.2. non-designated courses of study.

3. The Commonwealth will notify the University before the start of the grant year covered by this Agreement about the CGS amounts payable for:
   3.1. regional loading
   3.2. enabling loading; and
   3.3. medical student loading.

4. Amounts payable as CGS advances may be adjusted throughout the grant year based on information provided to the Commonwealth by the University.

5. CGS advances made in respect of each grant year covered by this Agreement will be reconciled with CGS funding payable to the University for each grant year. This reconciliation will occur in the year following the grant year when actual student enrolment data for that grant year is finalised.

Estimates of Commonwealth supported places

6. The University must provide accurate and timely estimates of Commonwealth supported places for the current year and future years, as required by the Commonwealth.

Provision of other data

7. The University must provide data relating to revised transparency arrangements as requested, including in relation to admissions processes and the cost of teaching and scholarship. This will include providing the data in the form and at the times required to support the national admissions platform.
PART B: Non-designated courses of study

Maximum basic grant amount for non-designated courses of study

8. The maximum basic grant amount for non-designated courses of study, calculated in accordance with subparagraph 30-27(1)(a)(ii) of HESA is:

8.1. $161,798,051 for 2018;

8.2. $161,798,051 for 2019; and

8.3. For 2020, the maximum basic grant amount specified in 8.2. Should the university meet its specified performance targets, the University will be advised in writing prior to the commencement of 2020 a revised maximum basic grant amount that will include an additional allocation based on projected national population growth in the 18 to 64 year old age bracket.

PART C: Designated courses of study

Maximum basic grant amount for designated courses of study

9. The maximum basic grant amount for designated courses of study for 2018, calculated in accordance with subparagraph 30-27(1)(a)(i) of HESA, is $6,751,605.

10. The maximum basic grant amount for designated courses of study for 2019, calculated in accordance with subparagraph 30-27(1)(a)(i) of HESA, is $6,879,636.

11. The maximum basic grant amount for designated courses of study for 2020 will be calculated in accordance with subsection 30-27(2) of HESA and advised, in writing, by the Commonwealth to the University before the start of the relevant grant year.

Allocation of Commonwealth supported places for designated courses of study

12. The total number of Commonwealth supported places for designated courses of study allocated to the University under subsection 30-10(1)(a) for each Funding Cluster for the 2018 grant year covered by this agreement is shown below in Table 1a.

13. The total number of Commonwealth supported places for designated courses of study allocated to the University under subsection 30-10(1)(a) for each Funding Cluster for the 2019 grant year covered by this agreement is shown below in Table 1b.

14. The total number of designated places allocated to the university for 2020 will be advised, in writing, by the Commonwealth to the University before the start of the relevant grant year.

15. Any places allocated at the postgraduate level are for non-research Courses of Study and exclude any course which is subject to Ministerial determination under paragraph 36-15(2)(b) of HESA.

16. If the University is unable to deliver the places detailed in Table 1a or Table 1b it must notify the Commonwealth as soon as practicable and not later than at the next update of estimates of Commonwealth supported places required by the Commonwealth under clause 6.

17. The University is not to transfer any allocation of Commonwealth supported places for designated courses of study between undergraduate and postgraduate courses.

18. The University may be audited to check whether actual enrolments in Commonwealth supported places in designated courses of study align with Table 1a and Table 1b.

19. The University is not to use the non-designated maximum basic grant amount for any designated places.
### Table 1a: Allocation of Commonwealth supported places for designated courses of study for 2018

<table>
<thead>
<tr>
<th>Cluster No.</th>
<th>Funding cluster</th>
<th>Number of designated undergraduate places (excluding medical places) for 2018 grant year (EFTSL)</th>
<th>Number of undergraduate medical places for 2018 grant year (EFTSL)</th>
<th>Number of non-research postgraduate places (excluding medical places) for 2018 grant year (EFTSL)</th>
<th>Number of postgraduate medical places for 2018 grant year (EFTSL)</th>
<th>Total number of Commonwealth supported places for 2018 grant year (EFTSL)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Law, accounting, administration, economics, commerce</td>
<td>55</td>
<td>0</td>
<td>24</td>
<td>0</td>
<td>79</td>
</tr>
<tr>
<td>2</td>
<td>Humanities</td>
<td>10</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>10</td>
</tr>
<tr>
<td>3</td>
<td>Mathematics, statistics, behavioural science, social studies computing, built environment, other health</td>
<td>58</td>
<td>0</td>
<td>58</td>
<td>0</td>
<td>116</td>
</tr>
<tr>
<td>4</td>
<td>Education</td>
<td>0</td>
<td>0</td>
<td>114</td>
<td>0</td>
<td>114</td>
</tr>
<tr>
<td>5</td>
<td>Clinical psychology, allied health, foreign languages, visual and performing arts</td>
<td>58</td>
<td>0</td>
<td>73</td>
<td>0</td>
<td>131</td>
</tr>
<tr>
<td>6</td>
<td>Nursing</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>7</td>
<td>Engineering, science, surveying</td>
<td>80</td>
<td>0</td>
<td>51</td>
<td>0</td>
<td>131</td>
</tr>
<tr>
<td>8</td>
<td>Medicine, dentistry, veterinary science, agriculture</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td></td>
<td>261</td>
<td>0</td>
<td>321</td>
<td>0</td>
<td>581</td>
</tr>
</tbody>
</table>

**NOTES:**
1. Figures are rounded for display, however they may contain underlying decimal places.
2. Designated undergraduate places include places in associate degrees, advanced diplomas, diplomas, and enabling courses but not medical places.
3. The total number of medical places allocated to the university for the grant year is the sum of the number of undergraduate medical places and the number of postgraduate medical places.
Table 1b: Allocation of Commonwealth supported places for designated courses of study for 2019¹

<table>
<thead>
<tr>
<th>Cluster No.</th>
<th>Funding cluster</th>
<th>Number of designated undergraduate places (excluding medical places) for 2019 grant year (EFTSL)²</th>
<th>Number of undergraduate medical places for 2019 grant year (EFTSL)</th>
<th>Number of non-research postgraduate places (excluding medical places) for 2019 grant year (EFTSL)</th>
<th>Number of postgraduate medical places for 2019 grant year (EFTSL)³</th>
<th>Total number of Commonwealth supported places for 2019 grant year (EFTSL)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Law, accounting, administration, economics, commerce</td>
<td>55</td>
<td>0</td>
<td>24</td>
<td>0</td>
<td>79</td>
</tr>
<tr>
<td>2</td>
<td>Humanities</td>
<td>10</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>10</td>
</tr>
<tr>
<td>3</td>
<td>Mathematics, statistics, behavioural science, social studies computing, built environment, other health</td>
<td>58</td>
<td>0</td>
<td>58</td>
<td>0</td>
<td>116</td>
</tr>
<tr>
<td>4</td>
<td>Education</td>
<td>0</td>
<td>0</td>
<td>114</td>
<td>0</td>
<td>114</td>
</tr>
<tr>
<td>5</td>
<td>Clinical psychology, allied health, foreign languages, visual and performing arts</td>
<td>58</td>
<td>0</td>
<td>73</td>
<td>0</td>
<td>131</td>
</tr>
<tr>
<td>6</td>
<td>Nursing</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>7</td>
<td>Engineering, science, surveying</td>
<td>80</td>
<td>0</td>
<td>51</td>
<td>0</td>
<td>131</td>
</tr>
<tr>
<td>8</td>
<td>Medicine, dentistry, veterinary science, agriculture</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td></td>
<td><strong>261</strong></td>
<td><strong>0</strong></td>
<td><strong>321</strong></td>
<td><strong>0</strong></td>
<td><strong>581</strong></td>
</tr>
</tbody>
</table>

NOTES:
1. Figures are rounded for display, however they may contain underlying decimal places.
2. Designated undergraduate places include places in associate degrees, advanced diplomas, diplomas, and enabling courses but not medical places.
3. The total number of medical places allocated to the university for the grant year is the sum of the number of undergraduate medical places and the number of postgraduate medical places.
20. The University will receive enabling loading for the number of Commonwealth supported places in enabling courses allocated to the University for 2018 and 2019 under subsection 30-10(1)(a) of HESA as shown below in Table 2.

Table 2: Allocation of Commonwealth supported places for enabling courses

<table>
<thead>
<tr>
<th></th>
<th>2018</th>
<th>2019</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

21. The total number of enabling places for 2020 will be advised, in writing, by the Commonwealth to the University before the start of the relevant grant year.

PART D: Other conditions and requirements

Closure of courses

22. Before Closing a Course to which this clause applies, the University must consult the Commonwealth and obtain the Commonwealth's approval for the closure.

22.1. In this clause, 'Course' means a Course of Study, or a major within a Course of Study.

22.2. This clause applies to Courses at the undergraduate and postgraduate levels in which Commonwealth supported students have been enrolled in either of the two preceding years.

22.3. This clause does not apply to a Course for which the University has had an intake for less than four consecutive academic years.

22.4. This clause applies to Courses in the following categories:

   22.4.1. Courses that prepare students for entry to any occupation that is experiencing a National Skill Shortage;
   22.4.2. Courses, the closure of which, would be likely to create a National Skill Shortage in an occupation because the University is a sole or dominant provider of the national skill base for that occupation;
   22.4.3. specialised Courses directed at the regional economy, the closure of which is likely, in the Commonwealth's opinion, to create serious detriment to the Skills Base of a Regional Economy; and
   22.4.4. Courses for a Nationally Strategic Language.

22.5. In making a decision regarding a Course Closure, the Commonwealth will:

   22.5.1. seek to reach a mutually agreeable arrangement with the University regarding the Course Closure;
   22.5.2. have regard to student demand for the Course, the financial viability of the Course, the justification provided for a proposed Course Closure by the University and other relevant factors;
   22.5.3. assist the University to explore options to retain the Course, including through cooperation with another provider or the movement of Commonwealth supported places to another provider (where applicable); and
   22.5.4. not unreasonably withhold approval for a Course Closure so as to place an unreasonable financial burden on the University or place the University in a financially unviable position in regard to the University's overall financial status.

22.6. For the purposes of subclause 22.4.1 and 22.4.3 the skill shortage lists published by the Department of Employment at State, Territory and National levels and the Department of Immigration and Border Protection’s Medium and Long-term Strategic Skills List must be taken into account in deciding if Closing a Course is likely, in the Commonwealth’s opinion, to create serious detriment to the Skills Base of a Regional Economy.
New campuses and campus closures

23. The University must obtain the Commonwealth’s prior written approval if the University proposes to enrol Commonwealth supported students in a course of study that is, or is to be undertaken, primarily at an educational facility, other than one of the University campuses listed below in Table 3 or approved educational facilities listed below in Table 4.

Table 3: University campuses

<table>
<thead>
<tr>
<th>Name of campus</th>
<th>Name of educational facility</th>
</tr>
</thead>
<tbody>
<tr>
<td>Croydon</td>
<td>Wantirna</td>
</tr>
<tr>
<td>Hawthorn</td>
<td>Melbourne CBD</td>
</tr>
</tbody>
</table>

24. The University must seek the Commonwealth’s prior written approval before closing or otherwise disposing of a campus of the University at which students are enrolled in Commonwealth supported places.

Clinical placements and practicums

25. The Commonwealth contribution amounts for a place in a nursing and education funding cluster include amounts in recognition of the costs of nursing clinical placement and teaching practicum. The University must use these amounts only for those purposes.

25.1. $1,330 and $912 per place in the nursing and teaching clusters respectively in 2018;
25.2. $1,335 and $929 per place in the nursing and teaching clusters respectively in 2019; and
25.3. The amounts for 2020 will be advised, in writing, by the Commonwealth to the University before the start of the relevant grant year.

26. For a course that is accredited under section 49 of the National Law, the University must ensure that each student enrolled in a course has access to clinical placements in accordance with the approved accreditation standard for the profession.

27. For a course that provides a pathway to professional accreditation, where that accreditation requires a clinical or practicum placement such as an initial teacher education course, the University must ensure that each student enrolled in that course has access to clinical placements or practicums in accordance with the approved national accreditation standard.

Applicable law and jurisdiction

28. The laws of the Australian Capital Territory apply to the interpretation of this agreement.

29. The parties agree to submit to the jurisdiction of the courts of the Australian Capital Territory and any courts which have jurisdiction to hear appeals from any of these courts in respect to any dispute under this agreement.

Entire agreement, variation and severance

30. This agreement and the Higher Education Support Act 2003 record the entire agreement between the parties in relation to its subject matter.

31. Except for action the Commonwealth is expressly authorised or required to take elsewhere in this agreement or HESA, no variation of this agreement is binding unless it is agreed in writing and signed by the parties.

32. If a court or tribunal says any provision of this agreement has no effect or interprets a provision to reduce an obligation or right, this does not invalidate, or restrict the operation of, any other provision.
Notices

33. A party giving notice under this agreement must do so in writing or by Electronic Communication:
   a. if given by the University, marked for the attention of the Group Manager of the Higher Education Group of the Department of Education and Training or other person as notified in writing by the Commonwealth to the University; or
   b. if given by the Commonwealth, marked for the attention of the Vice-Chancellor or other person as notified in writing by the University to the Commonwealth; and hand delivered or sent by pre-paid post or Electronic Communication to the address specified in subclause 33.1 of this agreement.

33.1 The address for notices to the Commonwealth is specified below:

   Group Manager
   Higher Education Group
   Department of Education and Training
   50 Marcus Clarke Street
   GPO Box 9880
   CANBERRA ACT 2601
   Email: cgs@education.gov.au

   The address for notices to the University is specified below:

   Vice-Chancellor
   Swinburne University of Technology
   PO Box 218
   HAWTHORN VIC 3122
   vc@swin.edu.au

34. A notice given under clause 33 is taken to be received:
   a. if hand delivered, on delivery;
   b. if sent by pre-paid post, 5 business days after the date of posting; or
   c. if sent by Electronic Communication, at the time that would be the time of receipt under section 14A of the Electronic Transactions Act 1999.
Interpretation

35. In this agreement, unless the contrary intention appears:

‘ABN’ has the same meaning as it has in section 41 of the A New Tax System (Australian Business Number) Act 1999;

‘CGS’ means Commonwealth Grant Scheme

‘Closing a Course’ or ‘Closure’ means the cessation of intake of students to a course by the University without its immediate replacement by a Course that leads to the same occupation or provides a similar specialised skill and includes any suspension of intake of students for more than one consecutive academic year;

‘Commonwealth Grant’ is the grant payable to the University under Part 2-2 of HESA;

‘Course Completion’ is the point at which an enrolled student satisfies the requirements for a particular qualification;

‘Course of study’ has the same meaning as set out in item 1 of Schedule 1 of HESA;

‘Designated course of study’ has the same meaning as set out in item 1 of Schedule 1 of HESA;

‘EFTSL’ has the same meaning as set out in item 1 of Schedule 1 of HESA;

‘Electronic Communication’ has the same meaning as in the Electronic Transactions Act 1999;

‘Enabling Course’ has the same meaning as set out in Item 1 of Schedule 1 of HESA;

‘Funding Clusters’ has the same meaning as set out in item 1 of Schedule 1 of HESA;

‘Grant Year’ refers to the calendar year for which the University has entered into this funding agreement;

‘HESA’ means the Higher Education Support Act 2003, as amended;

‘Maximum Basic Grant Amount’ has the same meaning as set out in item 1 of Schedule 1 of HESA;

‘National Law’ means (a) for a state or territory other than Western the Health Practitioner Regulation National Law as set out in the Schedule to the Health Practitioner Regulation National Law Act 2009 (Qld) as it applies (with or without modification) as a law of the State or Territory; and (b) for Western Australia — the legislation enacted by the Health Regulation National Law (WA) Act 2010 that corresponds to the Health Practitioner Regulation National Law;

‘Nationally Strategic Language’ means Arabic, Indonesian, Chinese (Mandarin), Hindi, Japanese, Korean and any other language notified in writing by the Commonwealth;

‘National Skill Shortage’ refers to the skill shortage lists published by the Department of Employment at State, Territory and National levels and the Department of Immigration and Border Protection’s Skilled Occupations List;

‘Non-designated courses of study’ has the same meaning as set out in item 1 of Schedule 1 of HESA;

‘Number of Commonwealth Supported Places’ has the same meaning as set out in item 1 of Schedule 1 of HESA;

‘Postgraduate Course of Study’ has the same meaning as set out in item 1 of Schedule 1 of HESA;

‘Skills Base of a Regional Economy’ will be assessed on a case by case basis;

‘Teacher Regulatory Authority’ means the body responsible for the accreditation of initial teacher education courses in a State or Territory.

‘Undergraduate Course of Study’ has the same meaning as set out in item 1 of Schedule 1 of HESA.
36. In this agreement, unless the contrary intention appears:

36.1. words in the singular include the plural and vice versa;

36.2. clause headings or words in bold format are inserted for convenience only, and have no effect in limiting or extending the language of provisions;

36.3. all references to dollars are to Australian dollars;

36.4. unless stated otherwise, a reference to legislation is to legislation of the Commonwealth, as amended from time to time;

36.5. an uncertainty or ambiguity in the meaning of a provision of this agreement will not be interpreted against a party just because that party prepared that provision; and

36.6. where a word or phrase is given a defined meaning, any other part of speech or grammatical form of that word or phrase has a corresponding meaning.

36.7. where a word or phrase is not specifically defined in this agreement and the word or phrase occurs in the *Higher Education Support Act 2003*, the word or phrase will have the same meaning as in that Act.
SIGNED for and on behalf of

THE COMMONWEALTH OF AUSTRALIA

by

DANIEL JOHN COHEN

Full name (please print)

Position

of the Department of Education and Training as delegate of the Minister for Education.

Abby-Lose Logan

Witness (please print)

Position or profession of witness (please print)

Signature

Date

18 January 2019

SIGNED for and on behalf of

Swinburne University of Technology

By

LINDA KRISTJANSON

Full name (please print)

Position

Signature

In the presence of:

CHRIS HENNESSY

Witness (please print)

Position or profession of witness (please print)

Signature